



Disclosures by Federal Agencies Regarding Foreign Collaborations

The information below is based on general information related to these sponsoring agencies. Many, such as Department of Energy and Department of Defense, outline specific requirements in each solicitation. For any questions or to help clarify, please contact ORA at ora@mines.edu

DOD: Department of Defense

- Disclose outside appointments, paid or unpaid, on biosketch
- Goal is to limit undue influence, including through foreign talent programs, by countries to exploit United State Technology

DOE: Department of Energy

- Requires disclosure and, as necessary, termination of affiliations with foreign government-supported talent recruitment programs.
- Restricts researchers working in unspecified “emerging research areas and technologies” from collaborating with colleagues from “sensitive” countries.
- Current/Pending document requests overlap to be outlined for each research project (proposed or current)

DOE: National Laboratories

- Requires disclosure and, as necessary, termination of affiliations with foreign government-supported talent recruitment programs.

NASA: National Aeronautics and Space Administration

- Recipients are NOT allowed to participate, collaborate, or coordinate with any Chinese-owned company, whether as a prime recipient or a subrecipient for/on a NASA funded project
- This applies whether there is or is not funding involved, including at an individual level
- [NASA guidebook for proposals](#) doesn't list information on consulting specifically

NH: National Institutes of Health

- Disclose foreign component on proposal for:
 - R&R Other Question 6: Does this project involve activities outside of the United States or partnerships with international collaborators
 - List non-US performance site
- Define foreign component as collaboration with investigators at a foreign site anticipated to result in co-authorship; use of facilities or instrumentation at a foreign site; receipt of financial support or resources from a foreign entity. This includes titled academic, professional, or institutional appointments whether or not remuneration is received, and whether full-time, part-time, or voluntary (including adjunct, visiting, or honorary).”



- Biosketch should include “all positions and scientific appointments both domestic and foreign held by senior/key personnel that are relevant to an application including affiliations with foreign entities or governments. Appointments include any titled academic, professional, or institutional position whether or not remuneration is received, and whether full-time, part-time, or voluntary (including adjunct, visiting, or honorary).”
- Require Other Support document, required at Just in Time or on progress reports, to include all financial resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual's research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards. Training awards, prizes, or gifts do not need to be included
- [General Application Forms F](#) doesn't list information on consulting specifically

NSF: National Science Foundation

- Requests disclosure of current project support from whatever source (Federal, State, local, foreign, public or private foundations, industrial or other commercial organizations, or internal funds allocated toward specific projects) be listed in a proposal. The proposed project and all other projects or activities requiring a portion of time of the PI and any other senior personnel must be included, even if they receive no salary support from the project(s). The total award amount for the entire award period covered (including indirect costs) must be shown as well as the number of person-months per year to be devoted to the project, regardless of source of support
- Biosketch must include all the individual's academic, professional, or institutional appointments, beginning with the current appointment. Appointments include any titled academic, professional, or institutional position whether or not remuneration is received, and whether full-time, part-time, or voluntary (including adjunct, visiting, or honorary).
- [NSFs FAQs](#) outlines in question 15 that outside consulting activities do not need to be reported on current/pending support document unless individual is performing research as a part of the consulting activity.
- Current/Pending document moving towards showing overlap with other funded projects (proposed PAPPG 22-1)
- [NSF PAPPG](#)